



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 5
77 WEST JACKSON BOULEVARD
CHICAGO, IL 60604-3590
December 29, 2021

VIA ELECTRONIC MAIL
DELIVERY RECEIPT REQUESTED

Mr. J. S. Thompson
PPG Industries, Inc.
3800 West 143rd Street
Cleveland, Ohio 44111
jsthompson@ppg.com

Re: Consent Agreement and Final Order
Facility Name: PPG Industries, Inc.
EPA Identification No.: OHD981958150
Docket No: **RCRA-05-2022-0004**

Dear Mr. Thompson:

Attached, please find a signed, fully executed Consent Agreement and Final Order (CAFO) in resolution of the above case. The CAFO was filed on December 29, 2021, with the Regional Hearing Clerk.

Please pay the civil penalty in the amount of \$11,472 in the manner prescribed in paragraphs 37-42 of the CAFO, and reference all checks with the docket number RCRA-05-2022-0004. Your payment is due within 30 calendar days of the effective date of the CAFO. Thank you for your cooperation in resolving this matter.

If you have any questions or concerns regarding this matter, please contact Brenda Whitney, of my staff, at whitney.brenda@epa.gov or at 312-353-4796.

Sincerely,

Julie Morris

Digitally signed by Julie
Morris
Date: 2021.12.20
08:04:18 -06'00'

Julie Morris, Supervisor
Compliance Section 2

Attachment

cc: Mitchell Mathews, Ohio EPA (mitchell.mathews@epa.ohio.gov)
Niki Chapin, PPG (niki.chapin@ppg.com)

**UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 5**

In the Matter of:)	Docket No. RCRA-05-2022-0004
)	
PPG Industries, Inc.)	Consent Agreement and Final Order
Warren, Ohio)	Under Section 3008(a) of the Resource
)	Conservation and Recovery Act,
EPA ID No.: OHD981958150)	42 U.S.C. § 6928(a)
)	
Respondent.)	
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Consent Agreement and Final Order

Preliminary Statement

1. This is an administrative action commenced and concluded under Section 3008(a) of the Solid Waste Disposal Act, as amended, also known as the Resource Conservation and Recovery Act (RCRA), 42 U.S.C. § 6928(a), and Sections 22.13(b) and 22.18(b)(2) and (3) of the Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties and the Revocation/Termination or Suspension of Permits (Consolidated Rules) as codified at 40 C.F.R. Part 22.
2. The Complainant is the Director of the Enforcement and Compliance Assurance Division, United States Environmental Protection Agency (U.S. EPA), Region 5.
3. Respondent is PPG Industries, Inc., a corporation which, at all times relevant to this CAFO, did business in the State of Ohio.
4. U.S. EPA provided notice of this action to the State of Ohio pursuant to Section 3008(a)(2) of RCRA, 42 U.S.C. § 6928(a)(2).
5. Where the parties agree to settle one or more causes of action before the filing of a complaint, the administrative action may be commenced and concluded simultaneously by the

issuance of a consent agreement and final order (CAFO). 40 C.F.R. § 22.13(b).

6. The parties agree that settling this action without the filing of a complaint or the adjudication of any issue of fact or law is in their interest and in the public interest.

Jurisdiction and Waiver of Right to Hearing

7. Jurisdiction for this action is conferred upon U.S. EPA by Sections 3006 and 3008 of RCRA, 42 U.S.C. §§ 6926 and 6928.

8. Respondent admits the jurisdictional allegations in this CAFO and neither admits nor denies the factual allegations in this CAFO.

9. Respondent waives its right to request a hearing as provided at 40 C.F.R. § 22.15(c), any right to contest the allegations in this CAFO, and its right to appeal this CAFO.

Statutory and Regulatory Background

10. Pursuant to Sections 3002-3005 of RCRA, 42 U.S.C. §§ 6922-6925, U.S. EPA promulgated regulations, codified at 40 C.F.R. Parts 260 through 279, governing generators and transporters of hazardous waste and facilities that treat, store, and dispose of hazardous waste.

11. Pursuant to Section 3006 of RCRA, 42 U.S.C. § 6926, U.S. EPA may authorize a state to administer the RCRA hazardous waste program in lieu of the federal program when the Administrator finds that the state program meets certain conditions.

12. Any violation of regulations promulgated pursuant to Subtitle C, or any state provision authorized pursuant to Section 3006 of RCRA, constitutes a violation of RCRA, subject to the assessment of civil penalties and issuance of compliance orders as provided in Section 3008 of RCRA, 42 U.S.C. § 6928.

13. Pursuant to Section 3006(b) of RCRA, 42 U.S.C. § 6926(b), the Administrator of U.S. EPA granted the State of Ohio final authorization to administer a state hazardous waste

program in lieu of the federal government's base RCRA program effective June 30, 1989. Ohio; Final Authorization of State Hazardous Waste Management Program, 54 Fed. Reg. 27170 (June 28, 1989).

14. The State of Ohio's hazardous waste management program regulation at Ohio Admin. Code § 3745-52-41(A) requires that a generator who is a large quantity generator for at least one month of an odd-numbered year (reporting year) who ships any hazardous waste off-site to a treatment, storage or disposal facility within the United States shall complete and submit the hazardous waste biennial report using Ohio EPA forms EPA 9027, EPA 9028, and EPA 9029 by March first of the following even-numbered year and shall cover generator activities during the previous year.

15. Under Section 3008(a) of RCRA, 42 U.S.C. § 6928(a), U.S. EPA may issue an order assessing a civil penalty for any past or current violation, requiring compliance immediately or within a specified period, or both.

Factual Allegations

16. Respondent was and is a "person" as defined by Ohio Admin. Code § 3745-50-10(A)(102), and Section 1004(15) of RCRA, 42 U.S.C. § 6903(15).

17. Respondent was an "operator," as that term is defined under Ohio Admin. Code §§ 3745-50-10(A)(95), of a facility located at 2823 Ellsworth-Bailey Road in Warren, Ohio 44481 (Facility). Respondent manufactured paint and coatings at this Facility until in or about 2020.

18. At all times relevant to this CAFO, Respondent's Facility consisted of land and structures, other appurtenances, and improvements on the land, used for treating, storing, or disposing of hazardous waste.

19. Respondent's Facility was a "facility," as that term is defined under Ohio Admin.

Code § 3745-50-10(A)(48).

20. Respondent's actions and processes at the Facility caused the production of "hazardous waste," as that term is defined under Ohio Admin. Code §§ 3745-50-10(A)(57) and 3745-51-03.

21. Respondent was a "generator" of hazardous waste, as that term is defined under Ohio Admin. Code § 3745-50-10(A)(54).

22. In or about 1980, Respondent notified the State of Ohio that PPG was a large quantity generator of hazardous waste. Respondent last notified the State of Ohio as a large quantity generator in 2017.

23. According to electronically submitted hazardous waste manifests that PPG filed with the State of Ohio, PPG shipped 56,895 kilograms of hazardous wastes from its Facility during calendar year 2019.

24. During calendar year 2019, Respondent generated 1,000 kilograms or greater of hazardous waste in some calendar months (qualifying it as a "Large Quantity Generator"), which it shipped off-site to at least one treatment, storage, or disposal facility within the United States.

25. Respondent did not complete and submit the hazardous waste biennial report covering its generator activities in 2019 by March 1st of 2020.

26. On or about August 28, 2020, Respondent notified the State of Ohio that the Facility at was inactive.

27. On November 3, 2021, U.S. EPA sent to Respondent a Notice of Potential RCRA Violations and Opportunity for Settlement.

28. The Notice letter identified potential RCRA violations, and an option and timeline for resolution of the matter through a streamlined settlement process.

29. The goal of the streamlined settlement process is to quickly and efficiently assess and resolve the matter, to bring the Facility into compliance, and to enter into an agreed upon CAFO.

30. Thereafter, Respondent engaged with U.S. EPA to expeditiously assess the matter and to agree to the entry of this CAFO.

Alleged Violations

Count I: Biennial Reporting

31. Complainant incorporates paragraphs 1 through 30 of this CAFO as though set forth in this paragraph.

32. In 2020, Respondent did not prepare and submit a Biennial Report to the Ohio EPA by March 1 for the preceding calendar year (2019), as required under Ohio Admin. Code § 3745-52-41(A), all in violation of Ohio Admin. Code § 3745-52-41(A).

Compliance Order

33. Pursuant to Section 3008(a)(3) of RCRA, 42 U.S.C. § 6928(a)(3), Respondent is hereby ordered to comply with the following requirements as expeditiously as possible and no later than 30 days from the effective date of this CAFO: Respondent shall file with the Ohio EPA a Biennial Hazardous Waste Report covering its generator activities during the year 2019.

34. Respondent shall submit the following certification to U.S. EPA, Region 5, attesting that it has complied with the requirements set forth in paragraph 33, above:

I certify under the penalty of law that based on my review of all relevant information and documents and inquiring of those individuals immediately responsible for providing all relevant information and documents, PPG Industries, Inc., is in compliance with the requirements of this Compliance Order. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment.

Date 12/16/2021

Signature and Title *Niki M Chapin*

Niki M Chapin, EHS Director, Central US

35. If unable to certify compliance as required under paragraph 34, above, Respondent shall submit a notification explaining why it is unable to comply, the actions it is taking to comply, and a proposed date on which it will achieve compliance.

36. Respondent shall submit all certifications and notifications required under the Compliance Order to:

Brenda Whitney
Enforcement and Compliance Assurance Division
U.S. EPA, Region 5
whitney.brenda@epa.gov

and

Land Enforcement and Compliance Assurance Branch
Enforcement and Compliance Assurance Division
U.S. EPA, Region 5
R5LEECAB@epa.gov

Civil Penalty Order

37. Pursuant to Section 3008(a)(3) of RCRA, 42 U.S.C. § 6928(a)(3), Complainant determined that an appropriate civil penalty to settle this action is \$11,472. In determining the penalty amount, Complainant took into account the above Factual Allegations, the seriousness of the violations, any good faith efforts to comply with the applicable requirements, and other factors as justice may require. Complainant also considered U.S. EPA's RCRA Civil Penalty Policy, dated June 23, 2003.

38. Within 30 days after the effective date of this CAFO, Respondent must pay a \$11,472 civil penalty for the RCRA violations by:

(For Checks Sent by Regular U.S. Postal Service Mail)

Sending a cashier's or certified check, payable to "Treasurer, United States of America,"

to:

U.S. EPA
Fines and Penalties
Cincinnati Finance Center
P.O. Box 979077
St. Louis, Missouri 63197-9000

The check must state Respondent's name and the docket number of this CAFO.

(For Checks Sent by Express Mail)

Sending a cashier's or certified check, payable to "Treasurer, United States of America,"

to:

U.S. Bank
Government Lockbox 979077
U.S. EPA Fines and Penalties
1005 Convention Plaza
Mail Station SL-MO-C2-GL
St. Louis, Missouri 63101

The check must state Respondent's name and the docket number of this CAFO.

(For ACH Electronic Funds Transfer)

Sending funds electronically, payable to "Treasurer, United States of America," to:

US Treasury REX / Cashlink ACH Receiver
ABA: 051036706
Account Number: 310006, Environmental Protection Agency
CTX Format Transaction Code 22 – checking

(For Wire Transfer)

Sending funds electronically, payable to "Treasurer, United States of America," to:

Federal Reserve Bank of New York
ABA No. 021030004
Account No. 68010727
33 Liberty Street
New York, New York 10045
Field Tag 4200 of the Fedwire message should read:
"D 68010727 Environmental Protection Agency"

In the comment or description field of the electronic funds transfer, state Respondent's

name and the docket number of this CAFO.

(For Online Payment)

Following the instructions found here:

WWW.PAY.GOV

Use the Search Public Forms option and enter 'sfo 1.1' in the search field. Open form and complete required fields.

39. Respondent must send an electronic notice of payment that states Respondent's name and the case docket number to EPA at the following addresses when it pays the penalty:

Regional Hearing Clerk (E-19J)
U.S. EPA, Region 5
77 West Jackson Boulevard
Chicago, Illinois 60604
Or via email at whitehead.ladawn@epa.gov

Brenda Whitney
Enforcement and Compliance Assurance Division
U.S. EPA, Region 5
whitney.brenda@epa.gov

James Cha
Office of Regional Counsel
U.S. EPA, Region 5
cha.james@epa.gov

Land Enforcement and Compliance Assurance Branch
Enforcement and Compliance Assurance Division
U.S. EPA, Region 5
R5LEECAB@epa.gov

40. This civil penalty is not deductible for federal tax purposes.

41. If Respondent does not timely pay the civil penalty, U.S. EPA may bring an action to collect any unpaid portion of the penalty with interest, handling charges, nonpayment penalties, and the United States enforcement expenses for the collection action. The validity, amount, and appropriateness of the civil penalty are not reviewable in a collection action.

42. Pursuant to 31 C.F.R. § 901.9, Respondent must pay the following on any amount overdue under this CAFO. Interest will accrue on any amount overdue from the date payment was due at a rate established by the Secretary of the Treasury pursuant to 31 U.S.C. § 3717(a)(1). Respondent must pay a \$15 handling charge each month that any portion of the penalty is more than 30 days past due. In addition, Respondent must pay a 6 percent per year penalty on any principal amount 90 days past due.

General Provisions

43. The parties consent to service of this CAFO by e-mail at the following valid e-mail addresses: cha.james@epa.gov (for Complainant), and jsthompson@ppg.com (for Respondent).

44. This CAFO resolves only Respondent's liability for federal civil penalties for the violations alleged in the CAFO.

45. This CAFO does not affect the right of U.S. EPA or the United States to pursue appropriate injunctive or other equitable relief or criminal sanctions for any violations of law.

46. Payment of the civil penalty does not affect Respondent's continuing obligation to comply with RCRA and other applicable federal, state, and local laws or permits.

47. This CAFO is a "final order" for purposes of 40 C.F.R. § 22.31, U.S. EPA's RCRA Civil Penalty Policy, and U.S. EPA's Hazardous Waste Civil Enforcement Response Policy (December 2003).

48. The terms of this CAFO bind Respondent, its successors, and assigns.


49. Each person signing this agreement certifies that he or she has the authority to sign for the party whom he or she represents and to bind that party to its terms.

50. Each party agrees to bear its own costs and attorney's fees in this action.

51. This CAFO constitutes the entire agreement between the parties.

PPG Industries, Inc., Respondent

13-Dec-2021
Date


Andrew Carroll
VP Automotive Americas and Global Mobility
PPG Industries, Inc.

United States Environmental Protection Agency, Complainant

Date

MICHAEL HARRIS Digitally signed by
MICHAEL HARRIS
Date: 2021.12.28
11:56:35 -06'00'

Michael D. Harris
Division Director
Enforcement and Compliance Assurance
Division

In the Matter of:
PPG Industries, Inc.
Docket No. RCRA-05-2022-0004

Final Order

This Consent Agreement and Final Order, as agreed to by the parties, shall become effective immediately upon filing with the Regional Hearing Clerk. This Final Order concludes this proceeding pursuant to 40 C.F.R. §§ 22.18 and 22.31. IT IS SO ORDERED.

Date

**ANN
COYLE**

Digitally signed by
ANN COYLE
Date: 2021.12.28
14:42:38 -06'00'

Ann L. Coyle
Regional Judicial Officer
United States Environmental Protection Agency
Region 5

In the matter of: PPG Industries, Inc.
Docket Number: RCRA-05-2022-0004

CERTIFICATE OF SERVICE

I certify that I served a true and correct copy of the foregoing **Consent Agreement and Final Order**, which was filed on December 29, 2021, this day in the following manner to the addressees:

Copy by email to Respondent's Representative:
(Delivery Receipt Requested)

Mr. J.S. Thompson
PPG Industries, Inc.
3800 West 143rd Street
Cleveland, Ohio 44111
jsthompson@ppg.com

Copy by email to Attorney for Complainant:

James Cha
cha.james@epa.gov

Copy by email to Regional Judicial Officer:

Ann Coyle
coyle.ann@epa.gov

Regional Hearing Clerk
U.S. Environmental Protection Agency
Region 5